

Welcome to

Risk-based management verifications in Interreg

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Interact



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Interreg

Objectives of the meeting

- ✓ Updates/ reminder on the legal framework for the risk-based management verifications in Interreg in 2021-2027
- ✓ Get an overview of maps of risk-based methodologies from mainstream programmes
- ✓ Discuss the AA's perspective on the methodologies for risk-based management verifications
- ✓ **EXCHANGE** - process development, consultations/ feedback with/from different stakeholders, implementing the methodology in the monitoring system, etc.

Registration (1/3)

42 Interreg programmes

56 Participants

21 Countries



Institutions represented:

33 JS/MA

14 Controllers

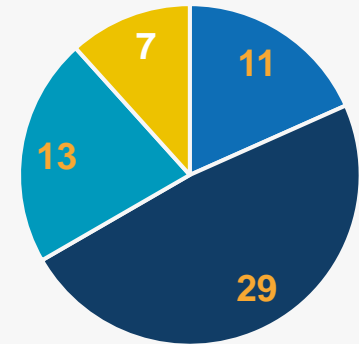
5 AA

3 NA

1 CA



How long did it take to prepare your methodology?



Has your methodology been approved by the MC?

37 Not approved methodology (No)

10 Approved methodology (Yes)

8 Planning to have it approved

2 Partially approved methodology

1 Fully approved methodology



■ 1-3 months

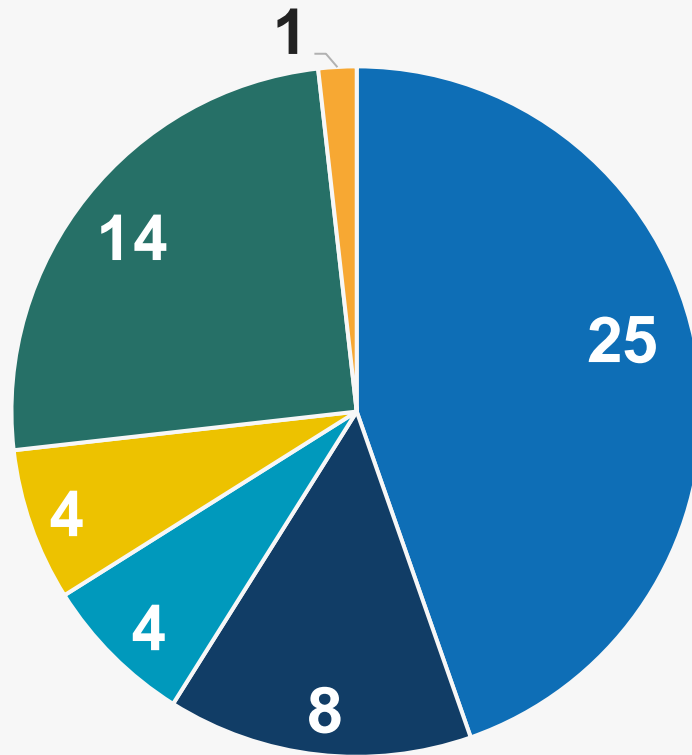
■ 1-6 months

■ Around one year

■ More than one year

Registration (2/3)

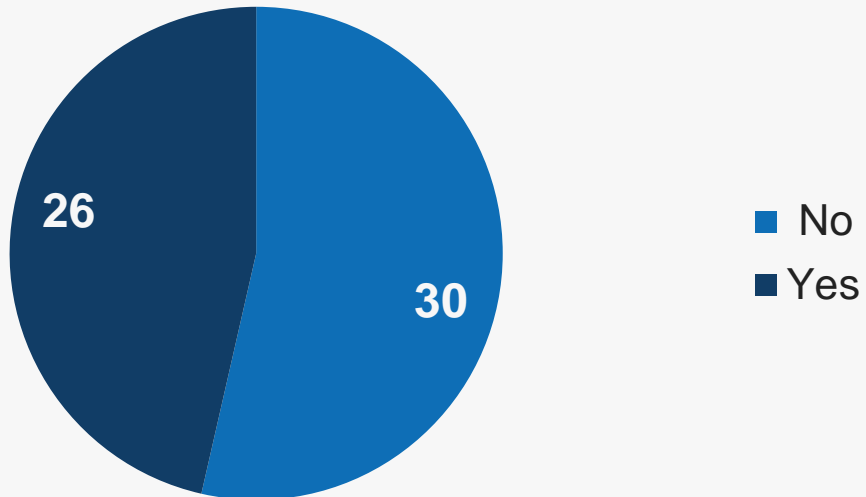
What is the current state of developing the methodology for RBMV?



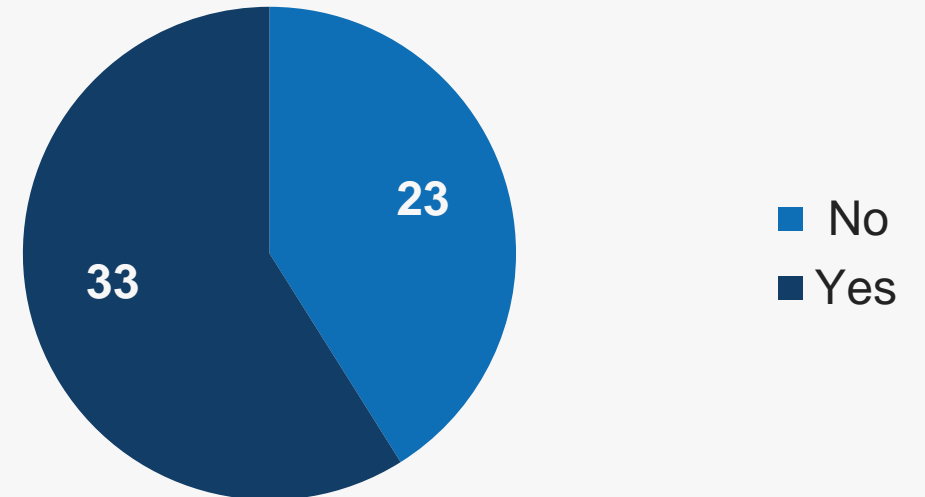
- The methodology is almost ready or already finalised (25)
- We haven't started yet (8)
- We will utilize and adapt the HIT methodology to our programme's context (4)
- We will utilize and adapt the HIT methodology to our programme's context; The methodology is almost ready or already finalised (4)
- We are in the initial stage (14)
- We are in the initial stage; The methodology is almost ready or already finalised (1)

Registration (3/3)

Did you consult your Audit Authority (AA) when developing the methodology?



Did you involve controllers in the process of developing the methodology?



Agenda/28 September

09.45 – 11.00

EC Reflection note
on risk-based
management
verifications +
Q&A

DAC unit

11.30 – 12.00

Mapping of
methodologies for
risk-based MV

12.00 – 13.00

First feedback from
the AA on the
methodologies

14.00 – 15.30

Peer-to-peer
exchange: process
of the
methodology
development

16.00 – 16.45

Peer-to-peer
exchange:
collaboration with
controllers +
transfer of the
methodology to
the monitoring
system

Working agreements

- a) ~~Please stay muted unless talking~~
- b) Be active!
- c) Ask questions
- d) Contribute and share (any idea is welcome)
- e) Be open
- f) Be patient with your peers
- g) Have a good time 😊



All presentations will be available after the event at Interact website, Library section!

Questions from the registration

- Biggest change between 2014-2020 and 2021-2027
- Can we use random sampling alongside risked-based sampling in our management verifications?
- How to identify the risk factors at the first stage of the methodology (does it have to be qualitative or quantitative analysis)? How to identify the riskiest areas in the actual project?
- Who is responsible (if at all) for checking whether controllers have fully complied with their tasks (checked what was defined in the methodology and not beyond)?



Questions from the registration

- Do the beneficiaries have to know which expenditures will be controlled by the controllers in advance? Does the programme have to communicate its risk-based methodology to the beneficiaries?
- How to approach sampling in combination with the extensive use of SCOs?
- What is the approach of the audit authority to the examination of methodologies? What will they focus on? What does the Commission recommend in this area?
- Second-level audits: will they use the same methodology and sample of expenditures, or is it possible that their audit encompasses expenditures not identified as key items and not checked by controllers?
- How to extend the sample if the errors are identified?





Risk-based management verifications in Interreg

Ioana Mantog, EC, DAC.7 unit



Coffee Break





Mapping of methodologies for risk-based management verifications

From mainstream programmes





AA's perspective on the methodologies for the risk-based management verifications

- Mart Pechter, AA, Interreg Estonia – Latvia
- Ellen Vanbecelaere, JS, Interreg Flanders – the Netherlands
- Curri Adem, AA, IPA CBC Bulgaria - North Macedonia



Lunch





Peer-to-peer exchange Methodologies for risk- based management verifications

- Tamara Ivančević Posavac, Andrijana Gavran, Neven Vajnaht, Croatia
- Petra Masacova, MA, Interact
- Illya Velev, FLC officer, IPA CBC Bulgaria - Serbia



Coffee Break





Peer-to-peer exchange Methodologies for risk- based management verifications

- Inga Kramarz, MA, ENI CBC Poland - Belarus – Ukraine
- Johanna März, JS, Interreg TN North-West Europe



Cooperation **works**

All materials will be available on:

Interact / Library