Updates from the Mapping of methodologies for RBMV of ERDF/CF mainstream programmes

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Updates from the working group on risk-based management verifications (DG Regio TN network on simplification)

- 14 draft case reports submitted

- responsibility for the development of the methodology

- different approaches to develop the methodology

- usage of risk-based model

- revision and update of the risk-based model

Risk-based models – good practices

- one risk-based methodology for all programmes (HU), respectively having a single RBMV model for all MA and IB (PT);

- useful to refer to the previous experience when identifying the risk criteria (LV);

- use of expert judgement or an experiences from relevant national competent authorities or other Interreg programmes stakeholders (SK, CY, Interact (IT-HR));

- using methodology on the level of payment claims (Interact (PL));

- need to keep the number of risk factors small, and the scoring system simple and not judgemental (CY, FR);

Risk-based models – good practices

- risk factors are defined and calculated by using data available in the IT system (HU, EL, PT);

- risk assessment is automatically performed by the IT system (HU, EL, PT);

- practical trainings for all stakeholders (upper and lower management, project managers) (SK);

- proactively self-assess the effectiveness of the RBMV model and scope of checks yearly (LV);

- need for cooperation between the MA and the IB, and consultation with the AA (LT);

- published guidance and capacity building (IE).

Risk-based models – not so good practices

- inconsistent data (SK);

- not having the historical results of administrative verifications for all funds and MA prevent a two levels RBMV, payment claim and expenditure (PT);

- at the beginning of development of RBMV model using no IT tools – only excel sheets cannot provide necessary audit trail (SK);

- it's not so good when the discussions between MA and IB will only start at the end of the development of the methodology. The development of RBMV requires cooperation between MA and IB from the very beginning (LT);

Risk-based models – not so good practices

- avoid scores that will need to be manually computed or entered each time (CY);

- in the case of aid schemes the risk assessment process could be so time consuming that it would be causing such a delay as to risk not meeting the 80-day period for reimbursement of the final recipient in case of a payment claim (CY);

- despite having the methodology, controllers tend to choose bigger samples than necessary (Interact (PL));

- it is important to avoid continuance of old systems/resistance to change (IE).

WG on risk-based management verifications

Next steps

- continue following the evolution of methodologies
- continue experience sharing
- update the case reports
- focus on specific topics

Cooperation works

All materials will be available on:

Interact website / Library